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Approved for use through 09/30/00. OMB 0651-0032  
 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

17616-845

First Inventor or Application Identifier

Christopher D. Casso

Title

Electrocauterizing Tool For Orthopedic Shave Devices

Express Mail Label No.

EL473792173US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Commissioner for Patents  
 Box Patent Application  
 Washington, DC 20231

- |   |  |
|---|--|
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)<br/>         (Submit an original, and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification <span style="float: right;">[Total Pages 23]</span><br/>         (preferred arrangement set forth below)<br/>         - Descriptive title of the Invention<br/>         - Cross References to Related Applications<br/>         - Statement Regarding Fed-Sponsored R&amp;D<br/>         - Reference to sequence listing, a table, or a computer program listing appendix<br/>         - Background of the Invention<br/>         - Brief Summary of the Invention<br/>         - Brief Detailed Description of the Drawings<br/>         - Detailed Description<br/>         - Claim(s)</p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (37CFR 1.152) <span style="float: right;">[Total Sheets 16]</span></p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration <span style="float: right;">[Total Pages 2]</span><br/>         a. <input type="checkbox"/> Newly executed (original or copy)<br/>         b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))<br/>         (for continuation/divisional with Box 17 completed)<br/>         i. <input type="checkbox"/> DELETION OF INVENTOR(S)<br/>         Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> | <p>7. <input type="checkbox"/> Microfiche Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)<br/>         a. <input type="checkbox"/> Computer Readable Copy<br/>         b. <input type="checkbox"/> Paper Copy (identical to computer copy)<br/>         c. <input type="checkbox"/> Statement verifying identity of above copies</p> |
|---|--|

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☒ 37 CFR 3.73(b) Statement ☒ Power of Attorney (when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure ☐ Copies of IDS Citations Statement (IDS) PTO-1449
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☒ Other: Express Mail Statement (37 CFR 1.10)

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. 09/066,615

Prior application information: Examiner R. Kearney

Group/Art Unit: 3739

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

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or ☐ Correspondence address below

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NAME

ADDRESS

CITY

STATE

ZIP CODE

COUNTRY

TELEPHONE

FAX

Name (Print/Type)

David J. Weitz

Registration No. (Attorney/Agent)

38,362

Signature

Date

December 5, 2000

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# FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.  
 Small Entity payments must be supported by a small entity statement,  
 otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
 See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$ ) 485.00

## Complete if Known

|                        |                                 |
|------------------------|---------------------------------|
| Application Number     | Unassigned                      |
| Filing Date            | Herewith                        |
| First Named Inventor   | Christopher D. Casscells et al. |
| Examiner Name          | Unknown                         |
| Group/Art Unit         | Unknown                         |
| Attorney Docket Number | 17616-845                       |

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 23-2415 (Docket No. 17616-845)

Deposit Account Name Wilson Sonsini Goodrich & Rosati

- ☒ Charge Any Additional Fee Required  
 Under 37 CFR §§ 1.16 and 1.17

2. ☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description        | Fee Paid     |
|----------------|-----------------|----------------|-----------------|------------------------|--------------|
| 101            | 710             | 201            | 355             | Utility filing fee     | 355.00       |
| 106            | 320             | 206            | 160             | Design filing fee      |              |
| 107            | 490             | 207            | 245             | Plant filing fee       |              |
| 108            | 710             | 208            | 355             | Reissue filing fee     |              |
| 114            | 150             | 214            | 75              | Provisional filing fee |              |
| SUBTOTAL (1)   |                 |                |                 |                        | (\$ ) 355.00 |

## 2. EXTRA CLAIM FEES

| Total Claims         | Extra Claims | Fee from below | Fee Paid |
|----------------------|--------------|----------------|----------|
| 30                   | -20** = 10   | 9.00           | 90.00    |
| Independent Claims 2 | -3** = 0     | 40.00          | 0.00     |
| Multiple Dependent   |              | 135.00         | 0.00     |

\*\*or number previously paid, if greater; For Reissues, see below

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description   | Fee Paid    |
|----------------|-----------------|----------------|-----------------|---|-------------|
| 103            | 18              | 203            | 9               | Claims in excess of 20                                    |             |
| 102            | 80              | 202            | 40              | Independent claims in excess of 3                         |             |
| 104            | 270             | 204            | 135             | Multiple dependent claim, if not paid                     |             |
| 109            | 80              | 209            | 40              | **Reissue independent claims over original patent         |             |
| 110            | 18              | 210            | 9               | **Reissue claims in excess of 20 and over original patent |             |
| SUBTOTAL (2)   |                 |                |                 |   | (\$ ) 90.00 |

## 3. ADDITIONAL FEES

| Large Fee Code                     | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description  | Fee Paid                             |
|------------------------------------|-----------------|----------------|-----------------|--|--------------------------------------|
| 105                                | 130             | 205            | 65              | Surcharge - late filing fee or oath  |                                      |
| 127                                | 50              | 227            | 25              | Surcharge - late provisional filing fee or cover sheet                     |                                      |
| 139                                | 130             | 139            | 130             | Non-English specification  |                                      |
| 147                                | 2,520           | 147            | 2,520           | For filing a request for reexamination                                     |                                      |
| 112                                | 920*            | 112            | 920*            | Requesting publication of SIR prior to Examiner action                     |                                      |
| 113                                | 1,840*          | 113            | 1,840*          | Requesting publication of SIR after Examiner action                        |                                      |
| 115                                | 110             | 215            | 55              | Extension for reply within first month                                     |                                      |
| 116                                | 390             | 216            | 195             | Extension for reply within second month                                    |                                      |
| 117                                | 890             | 217            | 445             | Extension for reply within third month                                     |                                      |
| 118                                | 1,390           | 218            | 695             | Extension for reply within fourth month                                    |                                      |
| 128                                | 1,890           | 228            | 945             | Extension for reply within fifth month                                     |                                      |
| 119                                | 310             | 219            | 155             | Notice of Appeal   |                                      |
| 120                                | 310             | 220            | 155             | Filing a brief in support of an appeal                                     |                                      |
| 121                                | 270             | 221            | 135             | Request for oral hearing   |                                      |
| 138                                | 1,510           | 138            | 1,510           | Petition to institute a public use proceeding                              |                                      |
| 140                                | 110             | 240            | 55              | Petition to revive - unavoidable   |                                      |
| 141                                | 1,240           | 241            | 620             | Petition to revive - unintentional   |                                      |
| 142                                | 1,240           | 242            | 620             | Utility issue fee (or reissue)   |                                      |
| 143                                | 440             | 243            | 220             | Design issue fee   |                                      |
| 144                                | 600             | 244            | 300             | Plant issue fee  |                                      |
| 122                                | 130             | 122            | 130             | Petitions to the Commissioner  |                                      |
| 123                                | 50              | 123            | 50              | Petitions related to provisional applications                              |                                      |
| 126                                | 240             | 126            | 240             | Submission of Information Disclosure Stmt                                  |                                      |
| 581                                | 40              | 581            | 40              | Recording each patent assignment per property (times number of properties) | 40.00                                |
| 146                                | 710             | 246            | 355             | Filing a submission after final rejection (37 CFR 1.129(a))                |                                      |
| 149                                | 710             | 249            | 355             | For each additional invention to be examined (37 CFR 1.129(b))             |                                      |
| Other fee (specify)                |                 |                |                 | 25   | Request for Corrected Filing Receipt |
| Other fee (specify)                |                 |                |                 | 55/110   | Terminal Disclaimer                  |
| * Reduced by Basic Filing Fee Paid |                 |                |                 |  | SUBTOTAL (3) (\$ ) 0.00              |

## SUBMITTED BY

|                   |                |                                   |              |           |              |
|-------------------|----------------|-----------------------------------|--------------|-----------|--------------|
| Name (Print/Type) | David J. Weitz | Registration No. (Attorney/Agent) | 38,362       | Telephone | 650-493-9300 |
| Signature         | David J. Weitz | Date                              | Dec. 5, 2000 |           |              |

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|                                    |   |                            |
|------------------------------------|---|----------------------------|
| In re Application of:              | ) |                            |
|                                    | ) |                            |
| Christopher D. Casscells et al.    | ) | Group Art Unit: Unassigned |
|                                    | ) |                            |
| Application No. Unassigned         | ) | Examiner: Unassigned       |
|                                    | ) |                            |
| Filed: Herewith (December 5, 2000) | ) |                            |
|                                    | ) |                            |
| For: ELECTROCAUTERIZING TOOL FOR   | ) |                            |
| ORTHOPEDIC SHAVE DEVICES           | ) |                            |
|                                    | ) |                            |

**STATEMENT UNDER 37 C.F.R. 1.10**

Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

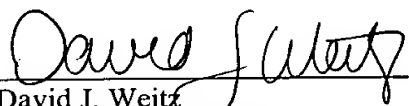
Sir:

I hereby certify that the attached patent application is being deposited with sufficient postage with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10, Express Mail Label No. EL473792173US, on December 5, 2000, addressed to Box Patent Application, Commissioner for Patents, Washington, D.C. 20231.

Respectfully submitted,

WILSON SONSINI GOODRICH & ROSATI

By:

  
David J. Weitz  
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December 5, 2000